2-Step QRM-MLBD for Broadband Single-Carrier Transmission

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SUMMARY Maximum likelihood block signal detection employing QR decomposition and M-algorithm (QRM-MLBD) can significantly improve the bit error rate (BER) performance of single-carrier (SC) transmission while significantly reducing the computational complexity compared to maximum likelihood detection (MLD). However, its computational complexity is still high. In this paper, we propose the computationally efficient 2-step QRM-MLBD. Compared to conventional QRM-MLBD, the number of symbol candidates can be reduced by using preliminary decision made by minimum mean square error based frequency-domain equalization (MMSE-FDE). The BER performance achievable by 2-step QRM-MLBD is evaluated by computer simulation. It is shown that it can significantly reduce the computational complexity while achieving almost the same BER performance as the conventional QRM-MLBD.

key words: single-carrier, QR decomposition, M-algorithm, MMSE-FDE

1. Introduction

The broadband wireless channel is composed of many propagation paths with different time delays and so is characterized as a frequency-selective fading channel. In the frequency-selective fading channel, the bit error rate (BER) performance of single-carrier (SC) transmission severely degrades due to strong inter-symbol interference (ISI) [1]. Although the minimum mean square error based frequency-domain equalization (MMSE-FDE) can significantly improve the BER performance [2], [3], a big performance gap from the matched filter (MF) bound still exists due to presence of the residual ISI after FDE [4].

Maximum likelihood detection (MLD) is the optimum detection for an uncoded additive white Gaussian noise (AWGN) channel with different symbols being transmitted with equal probability [5]. However, MLD has prohibitively high computational complexity. Recently, a maximum likelihood block signal detection employing QR decomposition and M-algorithm (QRM-MLBD) [6] was proposed for the reception of the SC signals transmitted over a frequency-selective fading channel [7], [8]. QRM-MLBD can achieve a BER performance close to the MF bound by increasing the number \( M \) of surviving paths in the M-algorithm [7], [8], while requiring quite reduced complexity compared to MLD. However, its computational complexity is still high compared to MMSE-FDE. To reduce the computational complexity of QRM-MLBD, several methods to reduce the required value of \( M \) were proposed [9]–[11]. In addition, the methods to reduce the number of symbol candidate to be involved in the path metric computation were proposed [12]–[16] by performing preliminary decision successively in M-algorithm.

In this paper, we propose another method to reduce the number of symbol candidate to be involved in the path metric computation of QRM-MLBD in which the preliminary decision is made over an entire block using MMSE-FDE prior to conducting the M-algorithm to remove the symbol candidates having low reliability. We call this proposal 2-step QRM-MLBD. In the conventional QRM-MLBD, the path metric is calculated for all symbol candidates at each stage. 2-step QRM-MLBD computes the path metrics only for the limited symbol candidates, and therefore, 2-step QRM-MLBD can further reduce the computational complexity compared to the conventional QRM-MLBD. We evaluate, by computer simulation, the average BER performance of SC transmissions achievable with 2-step QRM-MLBD and compare it to conventional QRM-MLBD.

The remainder of this paper is organized as follows. Section 2 presents the SC transmission with 2-step QRM-MLBD. In Sect. 3, we evaluate the BER performance achievable with our proposed 2-step QRM-MLBD by computer simulation and discuss how much we can reduce the number of symbol candidates. Section 4 offers the conclusion.

2. 2-Step QRM-MLBD

2.1 System Model for SC Signal Transmission

The SC transmission system model with 2-step QRM-MLBD is illustrated in Fig. 1. Throughout the paper, the symbol-spaced discrete time representation is used. At the transmitter, a binary information sequence is data-modulated and then the data-modulated symbol sequence is divided into a sequence of blocks of \( N_c \) symbols each, where \( N_c \) is the size of discrete Fourier transform (DFT). The data symbol block is expressed using the vector form as \( \mathbf{d} = [d(0), \ldots, d(N_c - 1)]^T \) ([.]\(^T\) denotes the transpose operation). The last \( N_0 \) symbols of each block are copied as a
cyclic prefix (CP) and inserted into the guard interval (GI) placed at the beginning of each block and a CP-inserted data block of $N_c + N_f$ symbols is transmitted.

We assume a symbol-spaced frequency-selective fading channel composed of $L$ distinct propagation paths. The channel impulse response $h(\tau)$ is given by

$$h(\tau) = \sum_{l=0}^{L-1} h_l \delta(\tau - \tau_l)$$

(1)

where $h_l$ and $\tau_l$ are respectively the complex-valued path gain with $E[\sum_{l=0}^{L-1} |h_l|^2] = 1$ and the time delay of the $l$th path. The CP-removed received signal block $y = [y(0), \ldots, y(N_c - 1)]^T$ can be expressed using the vector form as

$$y = \sqrt{\frac{2E_s}{T_s}} h d + n \quad \text{(2)}$$

where $E_s$ and $T_s$ are respectively the symbol energy and the symbol duration, $h$ is the $N_c \times N_c$ channel impulse response matrix given as

$$h = \begin{bmatrix}
  h_0 & h_{L-1} & \ldots & h_1 \\
  \vdots & h_0 & \ldots & \vdots \\
  \vdots & \vdots & \ddots & \vdots \\
  h_{L-1} & \vdots & \ddots & h_0 \\
  0 & \vdots & \ddots & \vdots \\
  \vdots & \vdots & \ddots & \vdots \\
  h_{L-1} & \ddots & \vdots & h_0 \\
  \vdots & \ddots & \vdots & \vdots \\
  0 & \ddots & \vdots & \vdots \\
  h_{L-1} & \ldots & \ldots & h_0 
\end{bmatrix} \quad \text{(3)}$$

and $n = [n(0), \ldots, n(N_c - 1)]^T$ is the noise vector whose elements are the independent zero-mean Gaussian variables having the variance $2N_0/T_s$, with $N_0$ being the one-sided power spectrum density of additive white Gaussian noise (AWGN).

At the receiver, $N_c$-point DFT is applied to transform the received signal block into the frequency-domain signal vector $Y = [Y(0), \ldots, Y(N_c - 1)]^T$. $Y$ can be expressed as

$$Y = F y = \sqrt{\frac{2E_s}{T_s}} F h d + N$$

(4)

where $N = F n = [N(0), \ldots, N(N_c - 1)]^T$ is the frequency-domain noise vector and $F$ is the $N_c \times N_c$ DFT matrix, given by

$$F = \frac{1}{{\sqrt {N_c} }} \begin{bmatrix}
  1 & 1 & \ldots & 1 \\
  e^{-j2\pi 0/N_c} & e^{-j2\pi 1/N_c} & \ldots & e^{-j2\pi (N_c-1)/N_c} \\
  \vdots & \vdots & \ddots & \vdots \\
  e^{-j2\pi (N_c-1)/N_c} & e^{-j2\pi (2N_c-1)/N_c} & \ldots & e^{-j2\pi (N_c-1)2N_c/N_c}
\end{bmatrix} \quad \text{(5)}$$

Due to the circulant property of $h$ [17], we have

$$F h h^H = \text{diag}[H(0), \ldots, H(N_c - 1)] \equiv H \quad \text{(6)}$$

where $[.]^H$ is the Hermitian transpose operation and $H(k) = \sum_{l=0}^{L-1} h_l \exp(-j2\pi k \tau_l/N_c)$.

Thus, Eq. (4) can be rewritten as

$$Y = \sqrt{2E_s/T_s} F h d + N = \sqrt{2E_s/T_s} \overline{F} d + N \quad \text{(7)}$$

It can be understood from Eq. (7) that MLD can be done if the equivalent channel matrix (which is a concatenation of propagation channel and DFT) is known to the receiver.

2.2 Conventional QRM-MLBD

QRM-MLBD can significantly reduce the computational complexity compared to MLD. First, applying the QR decomposition to the equivalent channel matrix $\overline{H}$, we have

$$\overline{H} = QR \quad \text{(8)}$$

where $Q$ is an $N_c \times N_c$ unitary matrix and $R$ is an $N_c \times N_c$ upper triangular matrix. Then, multiplexing $Y$ by $Q^H$, the transformed frequency-domain received signal $Z$ is obtained as

$$Z = [Z(0), \ldots, Z(N_c - 1)]^T = \sqrt{\frac{2E_s}{T_s}} R d + Q^H N \quad \text{(9)}$$

From Eq. (9), MLD can be expressed as

$$d_{ML} = \arg \min_{\tilde{d} \in \mathbb{C}^{N_c}} \left( \sum_{i=1}^{N_c} |Z(N_c - i) - \sum_{j=1}^{i} \sqrt{\frac{2E_s}{T_s}} R_{N_c-i,N_c-j} \tilde{d}(N_c-j) |^2 \right) \quad \text{(10)}$$

where $\tilde{d} = [\tilde{d}(0), \ldots, \tilde{d}(N_c - 1)]^T$ is the symbol candidate vector and $X$ is the modulation level (e.g. $X = 4$ for QPSK). From Eq. (10), the ML solution can be obtained by searching for the best path having the minimum squared Euclidean distance in the tree diagram composed of $N_c$ stages as shown in Fig. 2(a). However, MLD requires the full tree search, thus resulting in a very high computational complexity. Therefore, in QRM-MLBD, the M-algorithm [18] is used in order to reduce the complexity.

In the $i$th stage ($i = 0 \sim N_c - 1$) of M-algorithm, the path metric based on the squared Euclidean distance between the
transformed frequency-domain received signal $Z(N_c - 1 - i)$ and the symbol candidates $\tilde{d}(N_c - 1) \sim \tilde{d}(N_c - 1 - i)$ is computed. The accumulated path metric, which is the sum of the path metric at the $i$th stage and the accumulated path metric at the $i$th stage, is obtained. Then, the best $M$ paths are selected by comparing the accumulated path metrics associated with all surviving paths at the $i$th stage. This process is repeated until the last stage ($i = N_c - 1$). The most likely transmitted symbol sequence is found by tracing back along the best surviving path having the smallest accumulated path metric starting from the last stage. By using the M-algorithm, the complexity of path metric computation is reduced compared with the conventional QRM-MLBD. Reducing the number of symbol candidates to be involved in the path metric computation. In the conventional QRM-MLBD, $N_{cand}$ is $X$.

### 2.3 2-Step QRM-MLBD

The complexity of QRM-MLBD is spent to compute the path metric, and the complexity of path metric computation for QRM-MLBD depends on the block size $N_c$, the number $M$ of surviving paths at each stage and the modulation level $X$. In this paper, we introduce MMSE-FDE prior to QRM-MLBD to remove the symbol candidates having low reliability to reduce the computational complexity. The proposed scheme is called 2-step QRM-MLBD. In the conventional QRM-MLBD, the path metric is calculated for all $X$ symbol candidates at each stage as shown in Fig. 2(a). In 2-step QRM-MLBD, MMSE-FDE is performed prior to QRM-MLBD to prune the paths with low reliability as shown in Fig. 2(b) and then, the M-algorithm is carried out for the pruned tree structure. In [12]–[16], methods to reduce the complexity of path metric computation. In the conventional QRM-MLBD, $N_{cand}$ is $X$.

## IEICE TRANS. COMMUN., VOL.E95–B, NO.4 APRIL 2012

![Fig. 2](image1.png)  
(a) QRM-MLBD  
(b) 2-step QRM-MLBD

**Fig. 2** Tree structure for QRM-MLBD and 2-step QRM-MLBD (QPSK case).

shown in Fig. 2, based on the preliminary decision using MMSE-FDE, prior to conducting the M-algorithm. Consequently, by using 2-step QRM-MLBD, more accurate decision can be done in early stages compared to methods in [12]–[16].

(a) First step

MMSE-FDE is carried out as [3]

$$\tilde{D} = WY,$$

where $Y$ is the frequency-domain received signal given by

$$Y = \sqrt{\frac{2\pi}{T_s}} HD + N$$

with $D = Fd$ being the frequency-domain transmitted signal vector and

$$W = \text{diag}\left[\frac{H^*(0)}{|H(0)|^2 + (E_s/N_0)^{-1}}, \ldots, \frac{H^*(N_c - 1)}{|H(N_c - 1)|^2 + (E_s/N_0)^{-1}}\right]$$

is the MMSE weight [2, 3]. $[.]^*$ denotes the complex conjugate operation. Next, the time-domain received symbol vector $\tilde{d}$ is obtained by applying $N_c$ point inverse DFT (IDFT) as

$$\tilde{d} = F^{-}\tilde{D}.$$  
(14)

Then, hard decision is done on $\tilde{d}$.

The symbol candidates within distance $r$ from the MMSE-FDE hard detection result are selected and the others are discarded. In this way, the symbol candidates having low reliability are removed from the tree. Figure 3 shows how to select the symbol candidates for the 16QAM case. However, the use of too small $r$ leads to BER performance degradation. Hence, there is a trade-off relationship between the complexity reduction and BER performance.

(b) Second step

The M-algorithm is carried out. As the paths with low reliability have already been pruned in the first step, the complexity of path metric computation is reduced compared to the conventional QRM-MLBD. Reducing the number of symbol candidates by drawing a circle is similar to sphere decoding (SD) [20]. The difference between 2-step QRM-MLBD and SD is discussed in the Appendix.

![Fig. 3](image2.png)  
Fig. 3 Symbol candidate selection (16QAM case).
3. Computer Simulation

The computer simulation condition is shown in Table 1. Block size of $N_c = 64$, and GI size of $N_g = 16$ are assumed. The channel is assumed to be a frequency-selective block Rayleigh fading channel having symbol-spaced $L = 16$-path uniform power delay profile. Ideal estimation of the channel and noise power is assumed. First, we find the optimum $r$. Then, we show the BER performance achievable with 2-step QRM-MLBD and compare it with the conventional QRM-MLBD.

3.1 Optimization of $r$

In order to find the optimum $r$, we measured the a posteriori probability distribution of transmitted symbols when MMSE-FDE is used. Since the signal constellation is circularly symmetric, it is sufficient to measure the a posteriori probability distribution of transmitted symbols for the case of the hard detection result of MMSE-FDE falling in the first quadrant.

The a posteriori probability distribution obtained by the computer simulation is plotted in Figs. 4, 5, and 6 at the average received bit energy-to-noise power spectrum density $E_b/N_0 = (E_s/N_0)(1 + N_g/N_c)/\log_2 X$ value necessary to achieve $\text{BER} \approx 10^{-3}$ by QRM-MLBD for QPSK, 16QAM and 64QAM, respectively. The average received $E_b/N_0$ is set to 10, 14, and 18 dB for QPSK, 16QAM, and 64QAM, respectively. In the case of QPSK, it can be seen from Fig. 4 that the a posteriori probability of the most distant symbol is quite low and therefore, $r = \sqrt{2}$ can be used as shown in Fig. 7(a). In the 16QAM case, it is seen from Fig. 5 that the a posteriori probability of the symbols located outside a circle having a radius of $2/\sqrt{5}$ centering the hard detection result of MMSE-FDE is negligibly small. Therefore, $r = 2/\sqrt{5}$ can be used. In this paper, for comparison, the BER performance of 2-step QRM-MLBD using $r = 2/\sqrt{10}$ and $4/\sqrt{10}$ are compared as shown in Fig. 7(b). Also, in the 64QAM case, it can be seen from Fig. 6 that the a posteriori probability located outside a circle having the radius $2/\sqrt{21}$ centering the hard detection result of MMSE-FDE is negligible. Therefore, $r = 2/\sqrt{21}$ can be used. In the 64QAM case, we evaluate the BER performance of 2-step QRM-MLBD with $r = 2/\sqrt{42}$ and $4/\sqrt{42}$ as shown in Fig. 7(c).

3.2 BER Performance

The average BER performance of 2-step QRM-MLBD using $r = 2/\sqrt{10}, 2/\sqrt{5},$ and $4/\sqrt{10}$ for 16QAM ($X = 16$) and using $r = 2/\sqrt{42}, 2/\sqrt{21},$ and $4/\sqrt{42}$ for 64QAM ($X = 64$) are plotted in Figs. 8 and 9, respectively, as a function of the

### Table 1 Computer simulation condition.

<table>
<thead>
<tr>
<th>Transmitter</th>
<th>Modulation</th>
<th>QPSK, 16QAM, 64QAM</th>
</tr>
</thead>
<tbody>
<tr>
<td>Block size</td>
<td>$N_c = 64$</td>
<td></td>
</tr>
<tr>
<td>GI</td>
<td>$N_g = 16$</td>
<td></td>
</tr>
<tr>
<td>Channel</td>
<td>Fading type</td>
<td>Frequency-selective block Rayleigh</td>
</tr>
<tr>
<td>Power delay profile</td>
<td>$L = 16$-path uniform</td>
<td></td>
</tr>
<tr>
<td>Time delay</td>
<td>$\tau_l = l(0 \leq l \leq L - 1)$</td>
<td></td>
</tr>
<tr>
<td>Receiver</td>
<td>Channel estimation</td>
<td>Ideal</td>
</tr>
<tr>
<td></td>
<td>Noise power estimation</td>
<td>Ideal</td>
</tr>
</tbody>
</table>
Fig. 7 Symbol candidate selection.

average received $E_b/N_0$. In the 16QAM case, when $M = 16$, although the difference is very small, the use of $r = 2/\sqrt{5}$ is superior to the others. The BER performance of QRM-MLBD degrades when using a smaller number $M$ of surviving paths in the M-algorithm (e.g., $M = 16$ for 16QAM) since the probability of discarding the correct path at early stages increases. In 2-step QRM-MLBD, unreliable symbol candidates are discarded based on MMSE-FDE decision prior to conducting the M-algorithm. Hence, the probability of discarding the correct path in early stages can be reduced and the BER performance can be improved compared to QRM-MLBD when a small $M$ is used. Using $r = 2/\sqrt{5}$, a larger number of symbol candidates can be kept than in the case of $r = 4/\sqrt{10}$ and therefore, the probability of discarding the correct path in early stages increases. This is the reason why the BER performance of 2-step QRM-MLBD with $r = 2/\sqrt{5}$ is slightly superior to that with $r = 4/\sqrt{10}$. However, the performance difference diminishes when $M = 256$.

If $r = 2/\sqrt{10}$ is used, the BER performance degrades even in the high $E_b/N_0$ region for both $M = 16$ and $M = 256$. In the high $E_b/N_0$ region, the average BER performance of MMSE-FDE considerably degrades compared to that of QRM-MLBD. Therefore, if a small radius $r$ is used, the correct symbol candidates may be discarded prior to conducting the M-algorithm and hence, the average BER performance of 2-step QRM-MLBD degrades even if a large $M$ is used. In the 64QAM case, if $r = 2/\sqrt{42}$ is used, the BER performance becomes inferior to others for the same reason noted above when $M = 16$ and $M = 256$. This is particularly true for the former one, namely $r = 2/\sqrt{21}$ case is superior to others.

Figure 10 shows the BER as a function of $r$ for $E_b/N_0 = 8$, 12, and 14 dB (respectively 12, 16 and 18 dB) which are necessary to achieve BER $\approx 10^{-1}, 10^{-2}$ and $10^{-3}$ for 16QAM (respectively 64QAM). It can be seen from Fig. 10 that when $E_b/N_0 = 8$ dB for 16QAM (respectively 12 dB for 64QAM), the BER performance is almost the same for the three $r$ cases. However, when $E_b/N_0 = 12$ and 14 dB for 16QAM (respectively 16 and 18 dB for 64QAM), the use of the smallest $r$ gives inferior performance. As a consequence, the smallest radius can be used in the low $E_b/N_0$ re-
region while larger radius should be used in the higher $E_b/N_0$ region. Therefore, in this paper we use $r = 2/\sqrt{5}$ for 16QAM and $r = 2/\sqrt{21}$ for 64QAM in all $E_b/N_0$ regions.

Figure 11 shows the BER performance comparison between 2-step QRM-MLBD and the conventional QRM-MLBD. Three cases of $M$ are plotted, i.e., $M = 4$, 16, and 64 for QPSK and $M = 16$, 64, and 256 for 16QAM and 64QAM. Also plotted for comparison are the MF bound [21] and the BER performance of MMSE-FDE. $r$ is set to $\sqrt{2}$ for QPSK, $2/\sqrt{5}$ for 16QAM, and $2/\sqrt{21}$ for 64QAM.

First, the case of QPSK is discussed. It can be seen from Fig. 11(a) that 2-step QRM-MLBD using $M = 4$ provides better BER performance than conventional QRM-MLBD. This is because the symbol candidates having low reliability have been already discarded in the first step and therefore, the probability of discarding the correct path in the M-algorithm gets lower than the conventional QRM-MLBD. However, when $M$ is increased to 64, 2-step QRM-MLBD provides slightly degraded performance. This slight performance degradation is a cause of removing the correct symbol in the first step. However, this degradation is unnoticeable when $M$ is small.

Next, we discuss the cases of 16QAM and 64QAM. It can be seen from Figs. 11(b) and 11(c) that 2-step QRM-MLBD can achieve slightly better BER performance than the conventional QRM-MLBD for the same reason as in the QPSK case.

3.3 Computational Complexity

The computational complexity of the 2-step QRM-MLBD, in terms of the number of complex multiply operations, is compared with those of QRM-MLBD and MMSE-FDE. Table 2 shows the computational complexity. The complexity of path metric computation in conventional QRM-MLBD is $N_{cand}(2 + (M/2)(N_e + 4)(N_e - 1))$, where $N_{cand}$ is always set to 4 for QPSK, 16 for 16QAM, and 64 for 64QAM. In this paper, the radius as is set to $r = \sqrt{2}$, $2/\sqrt{5}$ and $2/\sqrt{21}$ for QPSK, 16QAM, and 64QAM, respectively so that the BER performance closest to the MF bound can be achieved. Therefore, when all possible symbols are transmitted with equal probability, the average $N_{cand}$ can be reduced to 3, 6.3, and 7.6 for QPSK, 16QAM, and 64QAM, respectively. As a result, 2-
step QRM-MLBD can reduce the total amount of computational complexity compared to conventional QRM-MLBD. If we want to achieve a near MF bound performance, we need to use $M = 64$ for QPSK and $M = 256$ for both 16QAM and 64QAM; the total computational complexity of 2-step QRM-MLBD can be reduced to 83%, 41% and 13% of conventional QRM-MLBD for QPSK, 16QAM and 64QAM, respectively.

4. Conclusion

In this paper, we proposed the computationally efficient 2-step QRM-MLBD; it uses MMSE-FDE before QRM-MLBD in order to reduce the number of symbol candidates. In 2-step QRM-MLBD, the symbol candidates outside a circle of radius $r$ from the hard decision of MMSE-FDE are discarded. We found the optimum value of $r$ using the a posteriori probability of transmitted symbols when MMSE-FDE is used. We showed that 2-step QRM-MLBD can reduce the computational complexity to about 83%, 41%, and 13% of the conventional QRM-MLBD for QPSK, 16QAM, and 64QAM, respectively.

The computational complexity of 2-step QRM-MLBD is still high compared to MMSE-FDE. For example, 2-step QRM-MLBD requires about 4,000 times higher computational complexity than MMSE-FDE to achieve a near MF bound performance for 16QAM. Further complexity reduction is an important future study topic. In addition, we did not consider any error correcting coding scheme in this paper. A study of error correcting coded case is left as a future study topic.

References

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2003.


Appendix: Algorithm Comparison with Sphere Decoding

SD can be represented as

$$\left\| Z - \sqrt{\frac{2E_s}{I_s}} R_d \right\|^2 \leq C^2$$

(A·1)

where $C$ denotes the radius of the $2N_r$-dimensional hypersphere. The difference between 2-step QRM-MLBD and SD [20] is two-fold.

First, the sphere in SD is different from that of 2-step QRM-MLBD. SD draws the $2N_r$-dimensional hypersphere which is centered in the transformed received signal sequence $Z$ and searches the symbol candidate sequences inside the hypersphere. If there are no symbol candidate sequences inside the hypersphere, the radius of hypersphere is expanded to start the tree search again. On the other hand, 2-step QRM-MLBD limits the search symbol candidates at each tree stage by drawing the 2-dimensional sphere (i.e. circle) in the $I$-$Q$ plane.

Second, the tree search algorithm is different. SD employs the depth-first search while 2-step QRM-MLBD (and also conventional QRM-MLBD) employs the breadth-first search [22].

SD can achieve the same BER performance as MLD. This is because the hypersphere certainly includes the symbol candidate sequences with minimum squared Euclidean distance from the received signal. 2-step QRM-MLBD, on the other hand, has a possibility to discard the correct path. 2-step QRM-MLBD uses the M-algorithm to reduce the computational complexity, but does not guarantee to always achieve the MLD performance.

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